

**ATTACHMENT C**

**UPDATE 1304 – OWNERSHIP AND CONTROL INFORMATION**

BHP Navajo Coal Company



May 10, 2013

BHP Billiton Limited  
BHP Navajo Coal Company  
PO Box 1717  
16 Miles South of Fruitland on CR 6675  
Fruitland, New Mexico 87416 USA  
Tel +1 505 598 4200 Fax +1 505 598 3361  
bhpbilliton.com

Mr. Mychal Yellowman  
Navajo Mine Team Leader  
Indian Programs Branch  
Office of Surface Mining/Western Regional Coordinating Center  
1999 Broadway, Suite 3320  
Denver, Colorado 80202-3050

**Re: Navajo Mine Permit Number NM-0003F; Update 1304 – Ownership and Control Information (Attachment C)**

Dear Mr. Yellowman,

BHP Navajo Coal Company (BNCC) is submitting for your review and approval, nine (9) copies of Update 1304 – Ownership and Control Information. Immediately following the conversion of Navajo Mine Coal Company from a corporation to limited liability company ("NMCC, LLC), BHP Billiton New Mexico Coal, NMCC, LLC's parent company, plans to sell 100% of NMCC, LLC's membership interest to Navajo Transitional Energy Company ("NTEC"). This sale is intended to close on July 1, 2013. The change in ownership and control does not require OSM approval. See 30 C.F.R. § 774.17; 72 Fed. Reg. 68000, 68008-09 (December 3, 2007). The regulations require timely submittal of appropriate information advising of these changes and updating specified SMCRA permit information.

This letter provides notification of the change in ownership and control, and instructions for the replacement of updated SMCRA permit contents follow:

Revisions

Comments/Instructions

Ch. 1 Vol. 1, *Appendix*

**Remove** Appendix 1-A BHP Billiton Ownership and Control  
**Add** Appendix 1-A-A Owner Ownership and Control  
**Add** Appendix 1-A-B Operator Ownership and Control.

Appendix 1-E Certification of Liability Insurance – Will be provided to OSM prior to the name change.

Ch. 1 Vol.1, *Table*

**Replace** Tables 1-1 Information for Entities with Ownership and Control Interest in Navajo Mine (page 1-4) with revised page.

General Purpose Rider for Surety Bond to be submitted prior to name change.

If you have any questions, please contact Kara Hart at 505-598-2134.

Sincerely,

A handwritten signature in blue ink, consisting of several loops and a long horizontal stroke extending to the right.

**Steven Perkins**  
Superintendent Environmental Permitting and Technical Services

VERIFICATION OF PERMIT UPDATE

NAVAJO MINE COAL COMPANY LLC  
NAVAJO MINE PERMIT UPDATE  
SAN JUAN COUNTY, NEW MEXICO

I swear and affirm, under oath and in writing that the information regarding this submittal contained in the Navajo Mine PAP is accurate and complete in accordance with Federal regulations under 30 CFR 778.

The following changes have occurred to the PAP:

- Owner and Operator; and,
- Officers and Directors.

Signature: John W. Grubb  
John W. Grubb  
Management Committee Executive  
Navajo Mine Coal Company LLC

STATE OF NEW MEXICO §

COUNTY OF SAN JUAN §

SUBSCRIBED AND SWORN to before me, in my presence, this 3rd day of May, 2013, a Notary Public in and for the State of New Mexico.

Billie May  
Notary Public

My Commission Expires: 7/26/2016



**APPENDIX 1-A**

**OWNERSHIP & CONTROL**

**APPENDIX 1-A-A**  
**OWNER**  
**OWNERSHIP & CONTROL**

# Ck bYf Corporate Organizational Structure



**NAVAJO TRANSITIONAL ENERGY COMPANY  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
<b>McLaughlin, Timothy H.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Passamaneck, Richard S.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Jenkins, Peter E.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Grey, Steve L.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Wood, Grant R.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Grubb, John W.</b>	<b>Management Committee Executive</b>	<b>5/3/2013</b>
<b>Gundersen, Steve</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Denetclaw, Peter</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
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**Names in Bold** indicate new changes since last report

**NAVAJO MINE COAL COMPANY, LLC  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
<b>McLaughlin, Timothy H.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Passamaneck, Richard S.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Jenkins, Peter E.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Grey, Steve L.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Wood, Grant R.</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Grubb, John W.</b>	<b>Management Committee Executive</b>	<b>5/3/2013</b>
<b>Gundersen, Steve</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>
<b>Denetclaw, Peter</b>	<b>Management Committee Member</b>	<b>5/3/2013</b>

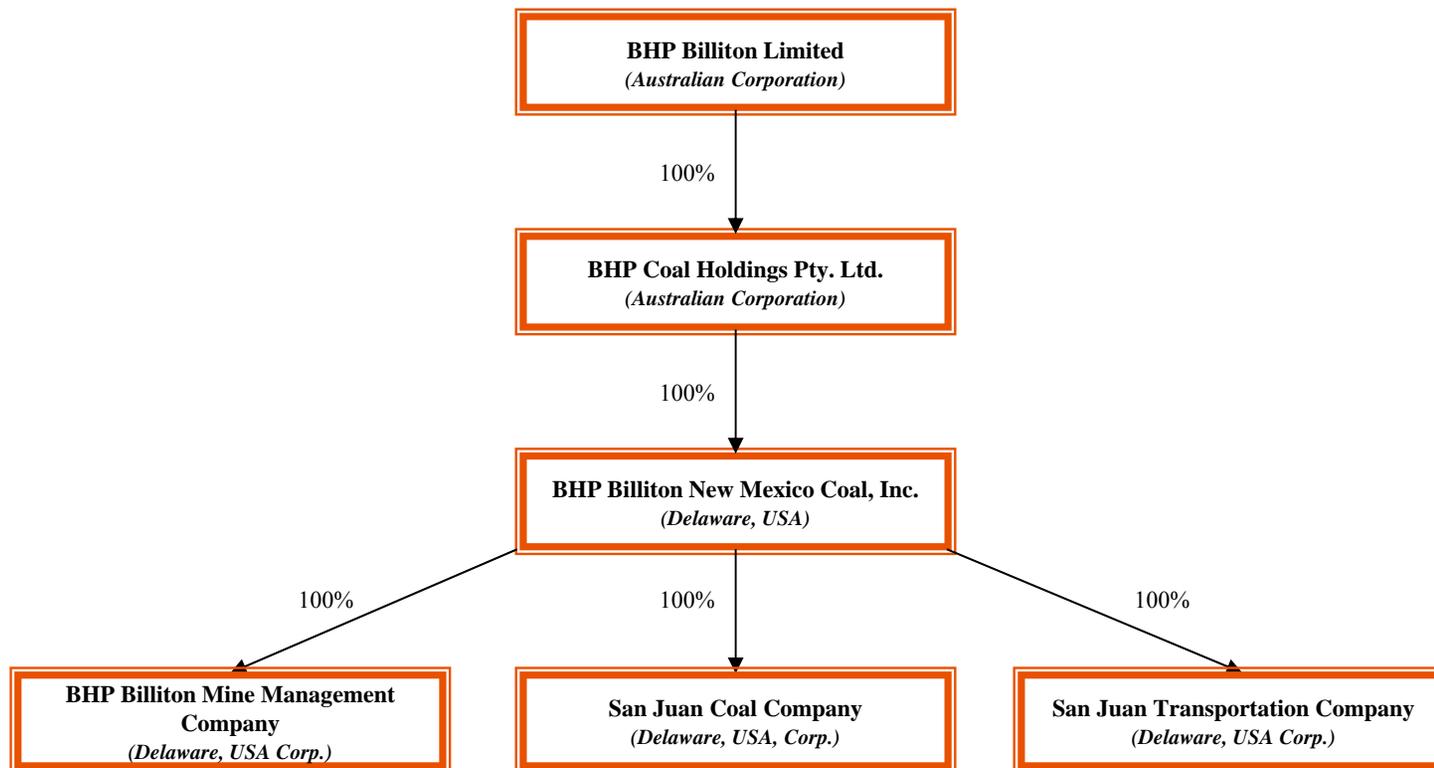
**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
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**Names in Bold** indicate new changes since last report

**APPENDIX 1-A-B**  
**OPERATOR**  
**OWNERSHIP & CONTROL**

# Operator Corporate Organizational Structure



**BHP BILLITON LIMITED  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
Crawford, David Alexander	Director	5/1/1994
Schubert, John Michael	Director	6/1/2000
Buchanan, John Gordon St. Clair	Director	2/1/2003
Codeiro, Carlos Antonio Souza	Director	8/26/2005
Kloppers, Marius Jacques	Director	1/1/2006
Nasser, Jacques	Director	6/6/2006
McAloon, Jane	Secretary	10/25/2006
Rumble, Keith Christopher	Director	9/1/2008
Murdy, Wayne William	Director	6/18/2009
Broomhead, Malcolm William	Director	3/31/2010
Hewson, Carolyn Judith	Director	3/31/2010
Vadera, Shriti	Director	1/1/2011
Maxsted, Lindsay Philip	Director	3/23/2011
Kleynhans, Nicola Emma	Secretary	12/16/2011
Davies, Lawrence Patrick Adrian	Director	6/1/2012

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
Anderson, Paul Milton	Director	6/6/2006	1/31/2010
de Planque, Eileen Gail	Director	10/19/2005	1/31/2010
Argus, Donald Robert	Director	11/30/1996	3/30/2010
Boeckmann, Alan Lee	Director	9/1/2008	3/23/2011
Smith, Fiona Catherine Davis	Secretary	6/28/2007	3/24/2011
Joyner, Elisabeth Jane	Secretary	3/24/2011	12/16/2011

**Names in Bold** indicate new changes since last report

**BHP COAL HOLDINGS PTY. LIMITED  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
Dennis, Michael Allan	Secretary	1/1/2012
Johnson, Paul Richmond	Director	11/14/2012
Summerhayes, Frances	Director	8/24/2012

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
Heath, Gerard John	Director	8/25/2000	6/26/2008
Scott, Neil John	Director	3/1/2006	12/16/2008
McKaig, Natalie Ellen	Secretary	10/4/2007	9/1/2008
Wong, Susie	Secretary	10/4/2007	9/1/2008
Peter, Paul	Alternate Director	12/1/2009	7/5/2010
Peter, Paul	Alternate Director	6/17/2011	7/4/2011
Wiltshire, Toni	Secretary	9/5/2011	10/10/2011
Oberholzer, Gideon Johannes	Director	12/15/2008	1/1/2012
Buckle, Jill Margaret	Secretary	9/22/2008	1/1/2012
Ruddell, David	Alternate Director	7/28/2011	1/1/2012
Liebenberg, Andre Leon	Director	1/1/2012	8/24/2012
Johnson, Paul Richmond	Alternate Director	1/20/2012	8/24/2012
Johnson, Paul Richmond	Alternate Director	9/4/2012	11/15/2012

**Names in Bold** indicate new changes since last report

**BHP BILLITON NEW MEXICO COAL INC.**  
**(formerly Chaco Valley Energy LLC)**  
**ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
Clarke, Steve C.	Director & Treasurer	4/18/2011
Goeckner, Shawn D	Director	11/5/2012
Risner, Patrick	COO & President & Director	11/5/2012 & 04/18/2011

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
Kellow, Glenn Leslie	President	3/14/2007	4/1/2010
Clarke, Steve C.	Assistant Treasurer	3/19/2004	4/18/2011
Roybal, Charles E.	Secretary	3/3/2009	4/18/2011
Jr., David T. Grand	Assistant Secretary	12/22/2009	4/16/2011
Groves, Darrell	Treasurer	7/1/2008	4/18/2011
Moore, Earl Kenneth	Vice President	3/19/2004	7/15/2011
Teel, Paul Lawrence	Vice President	6/1/2005	2/25/2011
Jones, Evan Y.	Vice President	3/19/2004	2/7/2011
Jr., David T. Grand	Secretary	4/18/2011	7/6/2012
Fourie, Jacobus Gerhardus	President & COO & Director	4/1/2010 & 4/18/2011	11/5/2012
Risner, Patrick	Vice President	4/18/2011	11/5/2012
<b>Ford, Kristen</b>	<b>Secretary</b>	<b>7/6/2012</b>	<b>3/22/2013</b>

**Names in Bold** indicate new changes since last report

**SAN JUAN COAL COMPANY  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
Jones, James Scott	Vice President	8/11/2008
Clarke, Steve C.	Director & Treasurer	2/7/2011 & 8/31/2011
Jacobs, Carin	Vice President	10/1/2011
Goeckner, Shawn D	Director	11/5/2012
Risner, Patrick	COO & President & Director	11/5/2012 & 2/15/2011

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
Kellow, Glenn Leslie	President & COO	3/14/2007	4/1/2010
Kellow, Glenn Leslie	Director	4/24/2007	4/1/2010
Moore, Earl Kenneth	Vice President	3/9/1999	2/15/2011
Moore, Earl Kenneth	Director	1/17/2000	2/15/2011
Moore, Earl Kenneth	Assistant Treasurer	3/9/1999	1/17/2000
Jones, Evan Y.	Vice President & Director	3/1/2001	2/15/2011
Teel, Paul Lawrence	Vice President	6/1/2005	2/25/2011
Clarke, Steve C.	Assistant Treasurer	10/30/2001	8/31/2011
Jr., David T. Grand	Assistant Secretary	3/3/2009	8/31/2011
Roybal, Charles E.	Secretary	3/3/2009	8/31/2011
Groves, Darrell	Treasurer	2/15/2011	8/31/2011
Jr., David T. Grand	Secretary	8/31/2011	7/6/2012
Fourie, Jacobus Gerhardus	Director, President & COO	4/1/2010	11/5/2012
Risner, Patrick	Vice President	2/15/2011	11/5/2012
<b>Ford, Kristen</b>	<b>Secretary</b>	<b>7/6/2012</b>	<b>3/22/2013</b>

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**SAN JUAN TRANSPORTATION COMPANY  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
Jones, James Scott	Vice President	8/11/2008
Clarke, Steve C.	Director & Treasurer	2/7/2011 & 8/31/2011
Jacobs, Carin	Vice President	10/1/2011
Goeckner, Shawn D	Director	11/5/2012
Risner, Patrick	COO & President & Director	11/5/2012 & 2/15/2011

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
Kellow, Glenn Leslie	President & COO	3/14/2007	4/1/2010
Kellow, Glenn Leslie	Director	4/24/2007	4/1/2010
Jones, Evan Y.	Director	3/1/2001	2/7/2011
Jones, Evan Y.	Vice President	3/1/2001	2/15/2011
Moore, Earl Kenneth	Vice President	3/9/1999	2/15/2011
Moore, Earl Kenneth	Director	1/17/2000	2/15/2011
Moore, Earl Kenneth	Assistant Treasurer	3/9/1999	1/17/2000
Teel, Paul Lawrence	Vice President	6/1/2005	2/25/2011
Clarke, Steve C.	Assistant Treasurer	10/30/2001	8/31/2011
Jr., David T. Grand	Assistant Secretary	12/22/2009	8/31/2011
Roybal, Charles E.	Secretary	3/3/2009	8/31/2011
Groves, Darrell	Treasurer	2/15/2011	8/31/2011
Jr., David T. Grand	Secretary	8/31/2011	7/6/2012
Risner, Patrick	Vice President	2/15/2011	11/5/2012
Fourie, Jacobus Gerhardus	Director, President & COO	4/1/2010	11/5/2012
<b>Ford, Kristen</b>	<b>Secretary</b>	<b>7/6/2012</b>	<b>3/22/2013</b>

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**BHP Billiton Mine Management Company  
ACTIVE OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>
<b>Allen, J Robert</b>	<b>Director &amp; Treasurer</b>	<b>3/28/2013</b>
<b>Goeckner, Shawn D</b>	<b>Director</b>	<b>3/28/2013</b>
<b>Risner, Patrick</b>	<b>Director</b>	<b>3/28/2013</b>
<b>Long, Geoffrey A</b>	<b>Secretary</b>	<b>3/28/2013</b>

**RESIGNED OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Date Elected</b>	<b>Date Resigned</b>
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**Names in Bold** indicate new changes since last report

**TABLE 1-1****INFORMATION FOR ENTITIES WITH OWNERSHIP AND CONTROL INTEREST IN NAVAJO MINE**

<b>Business</b>	<b>Address &amp; Telephone No.</b>	<b>Federal ID No.</b>
BHP Billiton Limited	Level 27 BHP Billiton Centre 180 Lonsdale Street Melbourne, VICTORIA 3000 Australia Telephone No: +61 1300 554757	N/A
BHP Coal Holdings Pty. Ltd.	Level 20, Waterfront Place 1 Eagle Street Brisbane, QUEENSLAND 4000 Australia Telephone No. +61 07 3227 5600	N/A
BHP Billiton New Mexico Coal Inc.	c/o The Corporation Trust Company 1209 Orange Street Wilmington DE, 19801 USA Telephone No. 44 (0) 207 802 4098	45-2485938
San Juan Coal Company	300 West Arrington, Suite 200 Farmington, NM 87401 USA Telephone No. (505) 598-4200	94-2557286
San Juan Transportation Company	300 West Arrington, Suite 200 Farmington, NM 87401 USA Telephone No. (505) 598-4200	94-2785883
BHP Billiton Mine Management Company	300 West Arrington, Suite 200 Farmington, NM 87401 USA Telephone No. (505) 598-4200	46-2419183
Navajo Transitional Energy Company	The Navajo Nation Office of the Attorney General Harrison Tsosie, Attorney General c/o Dana Bobroff, Deputy Attorney General Bureau of Indian Affairs Club Building Window Rock, Navajo Nation (Arizona) 86515	Application in Progress
Navajo Mine Coal Company, LLC	The Navajo Nation Office of the Attorney General Harrison Tsosie, Attorney General c/o Dana Bobroff, Deputy Attorney General Bureau of Indian Affairs Club Building Window Rock, Navajo Nation (Arizona) 86515	Application in Progress